APR 2 2 7004 E

ATTORNEY DOCKET NO: C1104-7061.20

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

George G. Mueller, et al.

Serial No:

10/705,643

Confirmation No:

4766

Filed: For: November 10, 2003

METHODS AND APPARATUS FOR GENERATING AND

MODULATING ILLUMINATION CONDITIONS

Examiner:

Not yet assigned

Art Unit:

2875

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 20 day of April, 2004.

Jeanne W. Chub

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

George G. Mueller, et al., Applicants

By:

Joseph Teja, Jr., Reg. No. 45,157

LØWRIE, LANDO & ANASTASI, LLP

Riverfront Office Park

One Main Street

Cambridge, Massachusetts 02142

Tel. (617) 395-7000

Date: April 20, 2004

740961.1

| (, og 2 ? !!!! | | | | |
|----------------------------------|-----------------------------|---------------------------|---------------------------------|--|
| FORM PTO-1449/A and B (Modified) | APPLICATION NO.: 10/705,643 | | ATTY. DOCKET NO.: C1104-7061.20 | |
| INFORMATION SCLOSURE | FILING DATE: | 11/10/03 | CONFIRMATION NO.: 4766 | |
| STATEMENT BY APPLICANT | APPLICANT: | George G. Mueller, et al. | | |
| Sheet 1 of 1 | GROUP ART UNIT: | 2875 | EXAMINER: not yet assigned | |
| Sheet 1 | | | | |

U.S. PATENT DOCUMENTS

| | | | U.S. I | ATENT DOCUMENTS | |
|------------------------|--------------|----------------------|--------------|--|---|
| Examiner's Initials | Cite | U.S. Patent Document | | Name of Patentee or Applicant of Cited | Date of Publication or of issue of Cited Document |
| | No. | Number | Kind Code | Document | MM-DD-YYYY |
| | | | | | |
| | | | | | |
| | | | | | |
| | <u> </u> | | | | |
| | | | | | |
| | | | | | |
| • | | | | | |
| | | | | | |
| | | | | <u> </u> | _1 |

FOREIGN PATENT DOCUMENTS

| L'Adminion 5 | Cite | Foreign Patent Document | | ment | Name of Patentee or Applicant of Cited Document | Date of Publication of | Translation |
|--------------|----------|-------------------------|----------|--------------|--|------------------------------|--------------|
| | No. | Office/ Country | Number | Kind Code | (not necessary) | Cited Document MM-DD-YYYY | (Y/N) |
| | | DE | 29607270 | U1 | | 08-29-1996 | Yes |
| | <u> </u> | DE | 19651140 | A1 | | 06-19-1997 | Yes |
| | | | | | | | |
| | | | | | | <u> </u> | |
| | | | | | | <u> </u> | |
| | | | | | | | |
| | | | | | | | <u>L</u> |

OTHER ART — NON PATENT LITERATURE DOCUMENTS

| Examiner's Initials | Cite No | Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published. | Translatio (Y/N) |
|------------------------|------------|---|---------------------|
| | | | |
| | | | |

| | |
|-------------|-----------------|
| EXAMINER | DATE CONSIDERED |
| | |

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.